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PTO/SB/21 (05-03)

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TRANSA DE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| Application Number | 10/632,706 | |
|------------------------|------------------------|--|
| Filing Date | August 1, 2003 | |
| First Named Inventor | James D. Marks | |
| Group Art Unit | 1645 | |
| Examiner Name | Virginia Allen Portner | |
| Attorney Docket Number | 407T-895120US | |

| Total Number o | f Pages in This Subm | ission | Attorney Docket Numbe | r | 407T-895120US | | | |
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| | ENCLOSURES (check all that apply) | | | | | | | |
| Fee Transm | ittal Form | Assignm (for an A | nent Papers Application) | | After Allowance Communication to Group | | | |
| Fee A | Attached | Drawing | (s) | | Appeal Communication to Board of Appeals and Interferences | | | |
| Amendmen | at / Response | Licensin | g-related Papers | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | |
| Afte | r Final | Petition and Acc | Routing Slip (PTO/SB/69) companying Petition | | Proprietary Information | | | |
| Affic | davits/declaration(s) | 1 1 1 | to Convert to a nal Application | | Status Letter | | | |
| Extension of | of Time Request | Power of Change Address | f Attorney, Revocation of Correspondence | X | Additional Enclosure(s) (please identify below): | | | |
| Express Ab | andonment Request | | l Disclaimer ntity Statement | | 64 Enclosed References receipt acknowledgment postcard | | | |
| X Information | Disclosure Statement | | t for Refund | | • | | | |
| Certified Control Document(| opy of Priority s) | Please charge D | | | y additional fees associated with | | | |
| | to Missing Parts/ Application | | ing the pendency of this apply of the documents enclosed. | | , including any extensions of time | | | |
| | ponse to Missing | Remarks | | | | | | |
| 1 | s under 37 CFR 2 or 1.53 | | | | | | | |
| | SIGNATU | IRE OF APPLI | CANT, ATTORNEY, OR | AGE | NT | | | |
| Firm <i>or</i> Individual name | Tom Hunter, Ro | eg. No. 38,498 | , Quine Intellec | tual l | Property Law Group P.C. | | | |
| Signature | Um 1 | 15 | | | | | | |
| Date | May 16, 2005 | | | | | | | |
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BY CHIADE ADDING

Attorney Docket No. 407T-895120US Client Ref. No. 1998-013-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James D. Marks, et al.

Application No.: 10/632,706

Filed: August 1, 2003

For: THERAPEUTIC MONOCLONAL

ANTIBODIES THAT NEUTRALIZE

BOTULINUM NEUROTOXINS

Confirmation No. 5306

Examiner: Virginia Allen Portner

Art Unit: 1645

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR § 1.97 and

§ 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

James D. Marks, et al.

Application No.: 10/632,706

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Tom Hunter, J.D., Ph.D.

Reg. No. 38,498

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PTO/SB/08A (04-03)

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| Substitute for form 1449A-B/PTO | Complete if Known | | |
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| | Application Number | 10/632,706 | |
| O INFERMATION DISCLOSURE | Filing Date | August 1, 2003 | |
| STATE OF NT BY APPLICANT | First Named Inventor | James D. Marks | |
| A 0 0005 | Group Art Unit | Unassigned | |
| MAY 1 8 2005 | Examiner Name | Unassigned | |
| (use as many sheets as necessary) | Attorney Docket Number | 407T-895120US | |
| W | Date Submitted | May 16, 2005 | |

| | | 110 Private | | S. PATENT DOCUMENTS | Data of Dublication of | Dance Columns lines |
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| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Т |
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| Examiner | Date | |
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| Signature | Considered | |

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| (use as many sheets as necessary) | Attorney Docket Number | 407T-895120US | | |
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